

**Your claim must be
postmarked or
submitted online by:
5/18/2022
Unless otherwise
mentioned on the
website**

Caterpillar Engine Settlement
c/o Settlement Administrator
5-112 Elizabeth Street, Suite 289
Toronto, ON M5G 1P5
1-855-659-2900
www.EngineSettlement.ca

CCE

Canadian Caterpillar EPA 2007 Compliant C13 & C15 Diesel Engine Class Action Settlement

CLAIM FORM

Please read the entire Claim Form carefully before you begin to fill it out. Mail your completed Claim Form to Caterpillar Engine Settlement c/o Settlement Administrator, 5-112 Elizabeth Street, Suite 289, Toronto, ON M5G 1P5, postmarked on or before **May 18, 2022** (unless otherwise indicated on the website www.EngineSettlement.ca). You may also submit your Claim Form and supporting documentation online at www.EngineSettlement.ca. If you have any questions, please call the Settlement Administrator at **1-855-659-2900** or visit www.EngineSettlement.ca.

Definitions

A “Subject Engine” is a Caterpillar EPA 2007 Compliant C13 and C15 diesel engine with Advanced Combustion Emission Reduction Technology (“ACERT”) manufactured in 2005, 2006, 2007, 2008 or 2009, including the CRS components of the engines. Visit the website www.EngineSettlement.ca for complete descriptions and photographs of the Subject Engines, explanations on how to identify them and the types of vehicles that included them.

“Caterpillar Regeneration System” or “CRS” means the components of the Subject Engine which are more fully defined or described by the parts set forth in Attachment A of the Settlement Agreement (also available at the website).

“CRS Related Repair” means a repair or replacement of any Caterpillar Regeneration System related part or component as indicated by the 45 DT and F code combinations reflected in Attachment A of the Settlement Agreement. Repairs or replacements made due to a Caterpillar Service Letter performed pro-actively are not considered a CRS Related Repair.

SECTION A: Settlement Benefit Options

Note that all amounts are subject to a pro-rata increase *or* reduction based on the number of Approved Claims/Engines, per the terms of the Settlement Agreement.

- i) If your Engine(s) experienced no CRS Related Repairs, you are eligible to receive, but not guaranteed, \$500, for each Subject Engine, but no more under any circumstance.
- ii) If your Engine(s) experienced 1-5 qualified CRS Related Repairs performed on or before the Execution Date, you are eligible to receive, but not guaranteed, an initial amount of \$5,000, up to a maximum of \$15,000, per Subject Engine.
- iii) If your Engine(s) experienced 6 or more qualified CRS Related Repairs performed on or before the Execution Date, you are eligible to receive, but not guaranteed, an initial amount of \$10,000, up to a maximum of \$30,000, per Subject Engine.

Your claim will be based on the number of Subject Engines for which you provide information. If you were sent this Claim Form in the mail or by email, Subject Engine information may have been pre-populated on this Claim Form.

SECTION B: Claim Information

I. CLAIMANT INFORMATION

First Name	MI	Last Name
<input type="text"/>	<input type="text"/>	<input type="text"/>

Company Name (if applicable)

Mailing Address, Line 1

Mailing Address, Line 2

City	Province	Postal Code
<input type="text"/>	<input type="text"/>	<input type="text"/>

Telephone Number (Primary)	Telephone Number (Secondary)
<input type="text"/>	<input type="text"/>

Email Address

II. ENGINE INFORMATION

Please fill out or confirm for each Subject Engine you are claiming. If you were sent this Claim Form in the mail or email, eligible Subject Engine warranty repair information may have been pre-populated below based on information known to the Settlement Administrator. If you wish to claim additional Subject Engines, you will need to provide the information requested below.

You MUST attach proof of the out-of-warranty CRS Related Repairs claimed for EACH Subject Engine you are claiming. Acceptable proof includes an invoice for the repair or similar documentation.

YOU MUST LABEL EACH PIECE OF SUPPORTING DOCUMENTATION WITH THE ASSOCIATED ENGINE SERIAL NUMBER. THE SERIAL NUMBER SHOULD BE WRITTEN CLEARLY, IN THE TOP LEFT-HAND CORNER OF EACH PAGE.

Engine 1 Serial Number	

Date Engine 1 was owned or leased	Number of CRS Related Repairs
FROM _____ TO _____	

Engine 2 Serial Number	

Date Engine 2 was owned or leased	Number of CRS Related Repairs
FROM _____ TO _____	

Engine 3 Serial Number	

Date Engine 3 was owned or leased	Number of CRS Related Repairs
FROM _____ TO _____	

Engine 4 Serial Number	

Date Engine 4 was owned or leased	Number of CRS Related Repairs
FROM _____ TO _____	

Engine 5 Serial Number	

Date Engine 5 was owned or leased	Number of CRS Related Repairs
FROM _____ TO _____	

* If you are providing information for more than 5 Subject Engines, you can copy this page as many times as needed and submit information for all Eligible Engine you owned/leased.

SECTION C: Certification and Signature

By signing below, I declare or affirm, under penalty of law, that I have read this Claim Form, that I believe I am eligible for Class membership, and that all of the information on this Claim Form (or provided with this Claim Form) is true and correct to the best of my knowledge. I understand that, if the Claim Form is not signed, my claim will not be valid, and I will not be eligible to receive a settlement cash payment.

Signature of Claimant

Date