

**IN RE: CATERPILLAR EPA 2007 COMPLAINT C13 AND C15  
DIESEL ENGINE LITIGATION IN CANADA**

**EXCLUSION / OPT-OUT FORM**

Class Members are bound by the terms of the Settlement Agreement, unless they exclude themselves from the Class Actions.

If you opt out, you will not be entitled to make a Claim or to receive any Benefits pursuant to the Settlement Agreement. If you opt out, you should be aware that there are strictly enforced time limits within which you must take formal legal action to pursue your own individual claim. By opting out, you will take full responsibility for taking all necessary legal steps to protect your claim.

If you wish to opt out of the class actions, you must complete and submit by mail the present Opt-Out Form to the following address, by no later than **January 3, 2022**:

**Caterpillar Engine Settlement  
ATTN: EXCLUSION REQUEST  
5-112 Elizabeth Street, Suite 289  
Toronto, ON M5G 1P5**

Class Members who want to opt out and who are residents of Quebec must IN ADDITION give notice to the Clerk of the Superior Court of Québec at:

**Registry (Greffé) of the Superior Court of Québec  
Palais de Justice of Montreal  
1, Notre-Dame East, Suite 1.120  
Montréal (Québec) H2Y 1B6  
Court File No.: 500-06-000681-144**

If you wish to exclude yourself, you must request exclusion for all Class Vehicles you own(ed) or lease(d). You may not exclude yourself from the Class for one or more Class Vehicles while also seeking benefits of the Class Action for other Class Vehicles.

If you have any questions, please visit the Settlement Website at **[www.EngineSettlement.ca](http://www.EngineSettlement.ca)** or call the toll-free number, **1-855-659-2900**. You also may send your questions to the Settlement Administrator at **[info@EngineSettlement.ca](mailto:info@EngineSettlement.ca)**.



Engine 3 Serial Number	
_____	
Date Engine 3 was owned or leased	Number of CRS Related Repairs
FROM _____ TO _____	

Engine 4 Serial Number	
_____	
Date Engine 4 was owned or leased	Number of CRS Related Repairs
FROM _____ TO _____	

\*If you are providing information for more than 4 Subject Engines, you can copy this page as many times as needed and submit information for all Eligible Engines you owned/leased.

**B. REQUEST FOR EXCLUSION (please check)**

I represent that I, personally or on behalf of my business, am the owner/lessee of the Subject Engine(s) identified above. I want to opt out of the Class Action in Ontario and/or Quebec (any of which I am a member) related to EPA 2007 Compliant Caterpillar C13 and C15 Diesel Engines manufactured between 2005 and 2009. I am signing this Opt-Out Form to EXCLUDE myself from entitlement to benefits under the Settlement Agreement.

**C. REASON FOR OPTING OUT (optional)**

\_\_\_\_\_  
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**I UNDERSTAND THAT BY OPTING OUT I WILL NEVER BE ELIGIBLE TO RECEIVE ANY COMPENSATION PURSUANT TO THE CATERPILLAR EPA 2007 COMPLIANT C13 AND C15 DIESEL ENGINE SETTLEMENT AGREEMENT**

I wish to opt-out of the Caterpillar EPA 2007 Compliant C13 and C15 Diesel Engine Settlement Program in Canada.

Date: \_\_\_\_\_ Signature: \_\_\_\_\_

Print Name: \_\_\_\_\_